

**Notice of Allowability**

Application No.

09/392,938

Examiner

Thanhnga B. Truong

Applicant(s)

TACKMAN ET AL.

Art Unit

2135

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 11/22/2005.
2. ☒ The allowed claim(s) is/are 1-20.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some\* c) ☐ None of the:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  
**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.  
(a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached  
1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.  
(b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.  
Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

- |                                                                                                                     |                                                                                       |
|---------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| 1. <input type="checkbox"/> Notice of References Cited (PTO-892)                                                    | 5. <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)           |
| 2. <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                | 6. <input type="checkbox"/> Interview Summary (PTO-413),<br>Paper No./Mail Date _____ |
| 3. <input type="checkbox"/> Information Disclosure Statements (PTO-1449 or PTO/SB/08),<br>Paper No./Mail Date _____ | 7. <input checked="" type="checkbox"/> Examiner's Amendment/Comment                   |
| 4. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit<br>of Biological Material          | 8. <input type="checkbox"/> Examiner's Statement of Reasons for Allowance             |
|                                                                                                                     | 9. <input type="checkbox"/> Other _____                                               |

## **DETAILED ACTION**

### ***Examiner's Amendment***

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Mr. Gordon K. Harris, Jr. on January 31, 2006. The applicant agreed to amend claims 1, 8, and 17 to meet the requirement under 35 U.S.C. 112, 2<sup>nd</sup> paragraph and 35 U.S.C. 101.

2. Please replace claims 1, 8, and 17 as follows:

1. A system for enabling the preparation, execution and secure management of an electronic chattel paper agreement comprising:

a server processing unit and a server memory device electrically coupled to the server processing unit;

a client processing unit and a client memory device electrically coupled to the client processing unit;

a server program module, stored in the server memory device, for providing instructions to the server processing unit;

a client program module, stored in the client memory device, for providing instructions to the client processing unit, and

a communication medium, communicatively coupling the server processing unit and the client processing unit;

the client processing unit, responsive to the instruction of the client program module and the server processing unit, responsive to the instructions of the server program module, being operative to:

authorize access to the system;

generate at least one unexecuted electronic chattel paper document;

prevent the creation of fraudulent versions of the electronic chattel paper document;

associate signatures electrically input by parties to a chattel paper transaction with the electronic chattel paper document thereby generating an electronic chattel paper agreement; and

maintain an authoritative copy of the electronic chattel paper document in the server memory device of the server processing unit.

8. The system claim 1, further comprising an input device electrically coupled to the client processing unit, and wherein the client processing unit and the server processing unit are operative to associate signatures electronically input by parties to the chattel paper transaction with the electronic chattel paper document thereby generating an electronic agreement by:

the client processing unit

receiving at least one signature input from the input device,

creating a signature file containing the signature input, and

encrypting the signature file using an encryption key that is based at least in part on the contents of the electronic chattel paper document.

17. A client system operating within an electronic chattel paper document system, the electronic chattel paper document system including a server, a server memory storage device and a server program module, the client system comprising:

a client processing unit;

a client memory device, a display device and an input device all electrically coupled to the client processing unit; a client program module, stored in the client memory, for providing instructions to the client processing unit;

a communication medium, communicatively coupling the client system to the electronic document system; and

the client processing unit, responsive to the instructions of the client program module, being operative to:

authorize access to the electronic chattel paper document system by

receiving access information from the input device,

transmitting the access information to the server over the communication medium, and

receiving an authorization indicator from the server processing unit over the communication medium;

generate at least one electronic chattel paper document;

prevent the creation of fraudulent versions of the electronic chattel paper document;

associate electronically input signatures with the electronic chattel paper document thereby generating an electronic chattel paper agreement,

receiving a set of signatures from the input device,

creating at least one signature file containing the set of signature, and

encrypting the signature using a encryption key that is based at least in part on the contents of the electronic chattel paper document; and

transfer the electronic chattel paper document and the encrypted signature file as an electronic chattel paper agreement to the server over the communication medium.

***Allowable Subject Matter***

3. Claims 1-20 are allowed.

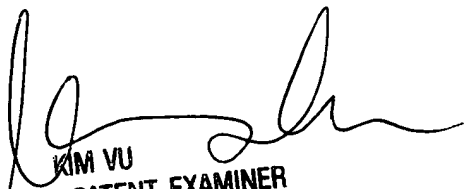
4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thanhnga (Tanya) Truong whose telephone number is 571-272-3858.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kim Vu can be reached on 571-272-3859. The fax and phone numbers for the organization where this application or proceeding is assigned is 571-273-8300

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 571-272-2100.

TBT

January 31, 2006

  
KIM VU  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2100